No. 10624

UNITED STATES OF AMERICA and NORWAY

Exchange of notes constituting an agreement concerning the safeguarding of classified information (with annex of general security procedures). Oslo, 26 February 1970

Authentic text: English.

Registered by the United States of America on 3 August 1970.

ÉTATS-UNIS D'AMÉRIQUE et NORVÈGE

Échange de notes constituant un accord relatif à la protection des renseignements classifiés (avec annexe relative à la procédure générale de sécurité). Oslo, 26 février 1970

Texte authentique: anglais.

Enregistré par les États-Unis d'Amérique le 3 août 1970.

EXCHANGE OF NOTES CONSTITUTING AN AGREEMENT ¹ BETWEEN THE UNITED STATES OF AMERICA AND NORWAY CONCERNING THE SAFEGUARDING OF CLASSIFIED INFORMATION

I

Oslo, February 26, 1970

Excellency:

I have the honor to refer to recent discussions between representatives of our respective Governments concerning the desirability of extending to all classified information exchanged between our two Governments the same principles that our Governments have agreed to apply in safeguarding classified information covered by the Security Agreement by the Parties to the North Atlantic Treaty, approved by the North Atlantic Council on January 6, 1950, and the Basic Principles and Minimum Standards of Security (NATO Document C-M (55)15 (Final)), approved by the Council on March 2, 1955.

I have the honor to propose, therefore, that all classified information communicated directly or indirectly between our two Governments be protected in accordance with such principles; namely that the recipient:

- a. will not release the information to a third government without the approval of the releasing Government;
- b. will undertake to afford the information substantially the same degree of protection afforded it by the releasing Government;
- c. will not use the information for other than the purpose for which it was given; and

¹ Came into force on 26 February 1970, the date of the note in reply, in accordance with the provisions of the said notes.

d. will respect private rights, such as patents, copyrights, or trade secrets which are involved in the information.

For the purposes of this agreement, information is understood in its broadest sense to include, among other things, any document, writing, sketch, photograph, plan, model, specification, design, or prototype, whether communicated by oral, visual, or written means or by transfer of equipment or materials.

These principles will apply in the case of the Government of the United States to information designated by the Government of the United States as "Confidential," "Secret" or "Top Secret" and to information designated by your Government as coming within the purview of this agreement. This agreement will not, however, apply to information for which special agreements may be required, such as classified atomic energy information.

This understanding will apply to all exchanges of such information between all agencies and authorized officials of our two Governments, whether at the respective capitals of our two countries, at international conferences or elsewhere. Any other arrangements between our two Governments or their respective agencies relating to the exchange of such information will, to the extent that they are not inconsistent with these principles, not be affected by this understanding. Details regarding channels of communication and the application of the foregoing principles will be the subject of such technical arrangements as may be necessary between appropriate agencies of our respective Governments.

Each Government will permit security experts of the other Government to make periodic visits to its territory, when it is mutually convenient, to discuss with its security authorities its procedures and facilities for the protection of classified information furnished to it by the other Government, and will assist such experts in determining whether classified information provided by their Government to the other Government is being adequately protected.

In recognition of the fact that protection of the classified information exchanged hereunder, particularly in the field of research on and development and production of defense material, is essential to the national safety of both our countries, general procedures for safeguarding the information will be as set forth in the Annex hereto.

If the foregoing is agreeable to your Government, I propose that this note and your reply to that effect, designating the types of information your Govern-

ment wishes covered, shall constitute an agreement on this matter effective the date of your reply.

Accept, Excellency, the renewed assurances of my highest consideration.

Philip K. CROWE American Ambassador

His Excellency John Lyng Minister for Foreign Affairs Oslo

ANNEX OF GENERAL SECURITY PROCEDURES

- 1. Official information given a security classification by either of our two Governments or by agreement of our two Governments and furnished by either Government to the other through Government channels will be assigned a classification by appropriate authorities of the receiving Government which will assure a degree of protection equivalent to or greater than that required by the Government furnishing the information.
- 2. The recipient Government will not use such information for other than the purposes for which it was furnished and will not disclose such information to a third Government without the prior consent of the Government which furnished the information.
- 3. With respect to such information furnished in connection with contracts made by either Government, its agencies, or private entities or individuals within its territory with the other Government, its agencies, or private entities or individuals within its territory, the Government of the country in which performance under the contract is taking place will assume responsibility for administering security measures for the protection of such classified information in accordance with standards and requirements which are administered by that Government in the case of contractual arrangements involving information it originates of the same security classification. Prior to the release of any such information which is classified Confidential or higher to any contractor or prospective contractor, the Government considering release of the information will undertake to insure that such contractor or prospective contractor and his facility have the capability to protect the classified information adequately, will grant an appropriate facility clearance to this effect, and will undertake, in accordance with national practice, to grant appropriate security clearances for all personnel whose duties require access to the classified information.

- 4. The recipient Government will also:
- a. Insure that all persons having access to such classified information are informed of their responsibilities to protect the information in accordance with applicable laws.
- b. Carry out security inspections of facilities within its territory which are engaged in contracts involving such classified information.
- c. Assure that access to such classified information at facilities described in subparagraph b is limited to those persons who require it for official purpose. In this connection, a request for authorization to visit such a facility when access to the classified information is involved will be submitted to the appropriate department or agency of the Government where the facility is located by an agency designated for this purpose by the other Government, and the request will include a statement of the security clearance and official status of the visitor and of the necessity for the visit. Blanket authorizations for visits over extended periods may be arranged. The Government to which the request is submitted will be responsible for advising the contractor of the proposed visit and for authorizing the visit to be made.
- 5. Costs incurred in conducting security investigations or inspections required hereunder will not be subject to reimbursement.
- 6. Classified information and material will be transferred only on a government-to-government basis.
- 7. The Government which is the recipient of material produced under contract in the territory of the other Government undertakes to protect classified information contained therein in the same manner as it protects its own classified information.

II

The Minister for Foreign Affairs of Norway to the American Ambassador

MINISTÈRE ROYAL DES AFFAIRES ÉTRANGÈRES Le Ministre 1

Oslo, 26th February, 1970

Excellency,

I have the honour to acknowledge receipt of Your Excellency's Note of today's date, which reads as follows:

¹ Royal Ministry for Foreign Affairs, The Minister.

[See note I]

In reply, I have the honour to inform Your Excellency that this proposal is acceptable to the Government of Norway. Furthermore, the Government of Norway agrees that Your Excellency's Note including the Annex of General Security Procedures and this reply shall constitute an agreement between our two Governments on this matter, effective today's date.

The principles for the protection of classified information as set forth in this agreement shall in the case of the Government of Norway apply to information designated by the Government of Norway either as "Confidential", "Secret" or "Top Secret", or, in the corresponding Norwegian terms, as "Fortrolig", "Hemmelig" or "Strengt Hemmelig". Security measures in Norway with respect to these designations will be applied in accordance with corresponding NATO security measures unless wider measures have been agreed to.

Accept, Excellency, the renewed assurances of my highest consideration.

John Lyng

His Excellency Philip K. Crowe Ambassador of the United States of America Oslo