# No. 16640

# UNITED STATES OF AMERICA and UNION OF SOVIET SOCIALIST REPUBLICS

Agreement concerning cooperation in the exploration and use of outer space for peaceful purposes (with summary of results of discussions on space cooperation dated at Moscow on 21 January 1971, and exchange of letters of 5 February and 3 April 1974). Signed at Geneva on 18 May 1977

Authentic texts of the Agreement: English and Russian.

Authentic text of the summary and exchange of letters: English.

Registered by the United States of America on 27 April 1978.

# ÉTATS-UNIS D'AMÉRIQUE et UNION DES RÉPUBLIQUES SOCIALISTES SOVIÉTIQUES

Accord relatif à la coopération en matière d'exploration et d'utilisation de l'espace extra-atmosphérique à des fins pacifiques (avec résumé des résultats des discussions sur la coopération spatiale en date à Moscou du 21 janvier 1971, et échange de lettres des 5 février et 3 avril 1974). Signé à Genève le 18 mai 1977

Textes authentiques de l'Accord : anglais et russe.

Texte authentique du résumé et de l'échange de lettres : anglais.

Enregistré par les États-Unis d'Amérique le 27 avril 1978.

AGREEMENT¹ BETWEEN THE UNITED STATES OF AMERICA AND THE UNION OF SOVIET SOCIALIST REPUBLICS CONCERNING COOPERATION IN THE EXPLORATION AND USE OF OUTER SPACE FOR PEACEFUL PURPOSES

The United States of America and the Union of Soviet Socialist Republics;

Considering the role which the USA and the USSR play in the exploration and use of outer space for peaceful purposes;

Striving for a further expansion of cooperation between the USA and the USSR in the exploration and use of outer space for peaceful purposes;

Noting the positive cooperation which the Parties have already experienced in this area:

Desiring to make the results of scientific research gained from the exploration and use of outer space for peaceful purposes available for the benefit of the peoples of the two countries and of all peoples of the world;

Taking into consideration the provisions of the Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, including the Moon and Other Celestial Bodies,<sup>2</sup> as well as the Agreement on the Rescue of Astronauts, the Return of Astronauts, and the Return of Objects Launched into Outer Space;<sup>3</sup>

Encouraged by the progress made in the course of mutually agreed activities pursued under the Agreement Between the United States of America and the Union of Soviet Socialist Republics Concerning Cooperation in the Exploration and Use of Outer Space for Peaceful Purposes, signed May 24, 1972;<sup>4</sup>

In accordance with the General Agreement between the United States of America and the Union of Soviet Socialist Republics on Contacts, Exchanges and Cooperation in Scientific, Technical, Educational, Cultural, and Other Fields, signed June 19, 1973,<sup>5</sup> and in order to develop further the principles of mutually beneficial cooperation between the two countries;

Have agreed as follows:

- Article 1. The Parties will continue to develop cooperation in such fields of space science and applications as space meteorology; study of the natural environment; exploration of near-earth space, the Moon and the planets; space biology and medicine; satellite search and rescue systems; and, in particular, will cooperate to take all appropriate measures to encourage and achieve the fulfillment of the Summary of Results of Discussion on Space Cooperation Between the US National Aeronautics and Space Administration and the Academy of Sciences of the USSR dated January 21, 1971, periodically renewed.
- Article 2. The Parties will carry out such cooperation through their appropriate national agencies by means of mutual exchanges of scientific information and delegations, and meetings of scientists and specialists of both countries, and also in such other ways as may be mutually agreed. Joint Working Groups may be created for the development and implementation of appropriate programs of cooperation.

<sup>&</sup>lt;sup>1</sup> Came into force on 24 May 1977, in accordance with article 6.

<sup>&</sup>lt;sup>2</sup> United Nations, Treaty Series, vol. 610, p. 205.

<sup>&</sup>lt;sup>3</sup> Ibid., vol. 672, p. 119.

<sup>&</sup>lt;sup>4</sup> *Ibid.*, vol. 846, p. 117.

<sup>&</sup>lt;sup>5</sup> Ibid., vol. 938, p. 81.

- Article 3. The Parties will take all necessary measures for the further development of cooperation in the area of manned space flight for scientific and practical objectives, including the use in joint flights of compatible docking and rendezvous systems derived from those developed during the experimental flight of Apollo and Soyuz spacecraft in July 1975. Joint work in this direction will be carried out in accordance with the Agreement Between the US National Aeronautics and Space Administration and the Academy of Sciences of the USSR on Cooperation in the Area of Manned Space Flight dated May 11, 1977.
- Article 4. The Parties will encourage international efforts to resolve problems of international law in the exploration and use of outer space for peaceful purposes with the aim of strengthening the legal order in space and further developing international space law and will cooperate in this field.
- Article 5. The Parties may by mutual agreement determine other areas of cooperation in the exploration and use of outer space for peaceful purposes.
- Article 6. This Agreement shall enter into force May 24, 1977, and shall remain in force for five years. It may be modified or extended by mutual agreement of the Parties.

Done at Geneva this 18th day of May 1977 in duplicate in the English and Russian languages, both equally authentic.

For the United States of America: [Signed — Signé]<sup>1</sup>

For the Union of Soviet Socialist Republics: [Signed — Signé]<sup>2</sup>

<sup>1</sup> Signed by Cyrus R. Vance - Signé par Cyrus R. Vance.

<sup>&</sup>lt;sup>2</sup> Signed by A. Gromyko — Signé par A. Gromyko.

- SUMMARY OF RESULTS OF DISCUSSIONS ON SPACE COOPERATION BETWEEN THE ACADEMY OF SCIENCES OF THE USSR AND THE US NATIONAL AERONAUTICS AND SPACE ADMINISTRATION, MOSCOW, JANUARY 18-21, 1971
- 1. The Academy of Sciences of the USSR (hereinafter called the Academy) and the US National Aeronautics and Space Administration (hereinafter called NASA) consider that the expansion of cooperation between the Soviet Union and the United States in space research and exploration can speed acquisition of knowledge of the earth's environment and surface features, increase opportunities to apply that knowledge for the benefit of man on earth, contribute to the efficient planning of the scientific exploration of the universe, enhance the safety of man in space and permit application of biomedical knowledge gained from manned space flight to the well-being of man on earth.
- 2. Accordingly, the President of the Academy and the Acting Administrator of NASA, with leading representatives of other concerned agencies (a list of participants is attached), have held a series of meetings during the period indicated above to exchange views on possible directions for increased cooperation between the Soviet Union and the United States in the exploration and use of outer space for peaceful purposes. During these meetings, they took note of the significance of past agreements between them and in particular the understanding of October 28, 1970, with regard to the question of providing for the compatibility of rendezvous and docking systems of manned spacecraft and space stations of both countries.
- 3. In the current series of meetings, the Academy and NASA have agreed to undertake certain cooperative actions and to consider jointly further possibilities for cooperation, including:
- in the field of meteorological satellites, to work jointly to make improvements in the current exchange of data and to consider alternative possibilities for coordinating satellite systems of both countries so as to achieve the economies and other advantages of complementary systems;
- in the field of meteorological rocket soundings, to formulate provisions for a program of soundings along selected meridional lines in cooperation with other countries;
- in the field of the natural environment, to study the possibility of conducting coordinated surface, air and space research over specified international waters and to exchange results of measurements made by each country over similar land sites in their respective territories so as to advance the potential applications of space and conventional survey techniques for investigating the natural environment in the common interest;
- in the fields of exploration of near-earth space, the Moon and the planets, to work jointly to define the most important scientific objectives in each area, to exchange information of the scientific objectives and results of their national programs in these fields, to consider the possibilities for coordination of certain lunar explorations, and, in particular, to initiate an exchange of lunar surface samples by performing an agreed exchange of samples already obtained in the Apollo and Luna programs;
- in the field of space biology and medicine, to develop appropriate procedures and recommendations to assure a more detailed and regular exchange of information including biomedical data obtained in manned space flights.
- 4. The details of the considerations described generally in paragraph 3 are provided in Attachments I–IV to this Summary of Results. These Attachments shall be understood to constitute an integral part of this Summary of Results.
- 5. To provide for the specific actions with respect to agreements and further cooperative possibilities which are set forth in the Attachments noted immediately above, the Academy and NASA agree to designate representatives to Working Groups in con-

nection with each Attachment. Such designations shall be made by the President of the Academy and the Administrator of NASA at the same time that each acts to confirm this Summary of Results under the provisions of paragraphs 6–8 below. Each Joint Working Group shall commence to consider, as a Group, the tasks assigned to it under the applicable Attachment within 90 days of the date that confirmation of this Summary is established. After commencing its assignment, each Joint Working Group will report its required actions and recommendations to the President of the Academy and the Administrator of NASA within a further period of six months. The Joint Working Groups shall conduct their work by correspondence and direct meetings in an expeditious manner within the schedules prescribed.

- 6. The President of the Academy and the Acting Administrator of NASA have indicated their preliminary agreement to this Summary of results by initialing it below. It is agreed that they shall have a further period of 60 days from this date in which to provide for further consideration. By the end of that period, they shall communicate to each other their written and final confirmation of this Summary, or, in the alternative, their possible specific proposals for altered language to modify any of its provisions.
- 7. In the case that full and mutual confirmation shall be established, the procedures provided in paragraph 5 above for implementation shall automatically go into effect.
- 8. In the case that the President of the Academy or the Administrator of NASA is not prepared to give full confirmation of the Summary, he may confirm certain Attachments and reserve confirmation with respect to others. In that case, the Attachments which are mutually confirmed shall go into full effect. The remaining Attachments shall be considered expeditiously by the President and the Administrator, by correspondence if possible and by meetings if necessary, in order to reach agreement on changes which will permit their early confirmation and implementation.

[Initialed]
Academician M. V. KELDYSH
President
Academy of Sciences of the USSR

January 21, 1971

[Initialed]
GEORGE M. Low
Acting Administrator
US National Aeronautics
and Space Administration
January 21, 1971

#### ATTACHMENT I

#### SPACE METEOROLOGY

## I. Meteorological Satellites

## A. Agreements and Objectives

The Academy and NASA noted the usefulness of cooperation in the field of meteorology within the Bilateral Agreement between AS USSR and NASA USA of 1962 and Memoranda of Understanding of March and May 1963 and June 1964 and the value of application of artificial earth satellites for global observational information for the benefit of the meteorological services of both countries, and also for the World Weather Watch.

For the purpose of further development of the cooperation in space meteorology, the Academy and NASA consider it useful to discuss and coordinate their activities in the following fields:

- Immediate steps to expand and improve the current exchange of information from US and USSR artificial earth satellites, specifically:
- to increase the volume and quality of original TV and IR pictures of clouds and surface transmitted;

- to improve the forms of presentation of satellite information in combination with other types of meteorological data;
- to improve further the technical performance of the communication link between Moscow and Washington;
- to convert to high speed exchange of conventional data with resulting expansion of its volume.

Coordination of the activity in data exchange shall be arranged directly between the Hydrometeorological Service of the USSR and the National Oceanic and Atmospheric Administration of the USA to include the questions of schedules, data content, data format, international communications procedures to be followed, etc.

## B. Proposals for Further Discussion

- Cooperation in scientific investigations for further development of methods of meteorological
  measurements from satellites, data interpretation, data processing, and application of the data.
  Such problems as the following should be considered:
- development and improvement of methods for sounding of vertical temperature and humidity distribution, observation of precipitation areas and intensity, etc.;
- development of optimum methods for mathematical solution of inversion problems in satellite meteorology;
- application of information from meteorological satellites to numerical forecasting techniques;
- methods of automatic data processing of meteorological satellite data.
- Cooperation in establishment of space meteorological systems with the purpose of sharing of effort.

NASA and the Academy consider important the coordination of their efforts in the establishment of complementary space meteorological systems which take into account the requirements of the World Weather Watch. Exchange of opinions resulted in agreement to continue consideration of the problems in order to work out in the future decisions acceptable to both sides.

## II. Meteorological Rockets

## A. Agreements and Objectives

Cooperation in research on upper layers of the atmosphere by means of meteorological rockets.
 In this field NASA and the Academy agreed as desirable coordination of programs to conduct meteorological rocket firings along selected meridians in the Eastern and Western Hemispheres (about 60°E and 90°W), together with other countries concerned.

# B. Proposals for Further Discussion

NASA and the Academy agree to consider and coordinate the following problems, always giving consideration to interests of other countries involved:

- definition of the scientific objectives of the two networks;
- preparation of the necessary plans and procedures required to coordinate the launchings in the two networks and provide for the analysis of the data from both networks on a synoptic basis;
- determination of the appropriate measurement instrumentation systems and techniques to be used.

The two Working Groups (one for the problems of satellite meteorology and the other for meteorological rocket soundings of the atmosphere) shall consider and coordinate the problems mentioned in this attachment above in accordance with the procedure established in the document, taking into account also the appropriate recommendations of the World Meteorological Organization and the International Council of Scientific Unions.

#### ATTACHMENT II

#### STUDY OF THE NATURAL ENVIRONMENT

# A. Agreements and Objectives

- 1. The survey of a wide variety of earth features and conditions from space, primarily on a spectral basis, may be scientifically useful and economically advantageous. The capability to conduct such surveys is in an early stage and requires intensive surface, air and space investigations, studies, testing, and intercomparisons on an experimental basis.
- 2. In this field the Academy and NASA agree to make every effort to develop cooperation in the following principal directions:
- a. discussions, and the exchange of scientific information, on the use of space technology for investigating the natural environment;
- b. studies of different techniques of measuring parameters of the natural environment, using space and conventional means, and studies of the interpretation of results of these measurements. In this regard, use will be made of the results of research conducted within the framework of existing international space and conventional programs;
- c. study of questions involved in the use of instrumentation required for the registration of electromagnetic radiation of Earth from outer space in various spectral ranges;
- d. the possibility of coordinating selected integrated experiments involving space and conventional technology in selected areas of the international ocean; and also the exchange of results of coordinated research above selected areas of the earth surface, with initial emphasis on vegetation carried out in accordance with national programs.

## B. Proposals for Further Discussion

In performing its assigned tasks, it is suggested that the Working Group on the Natural Environment shall:

- review and consider results already obtained;
- discuss additional data which appear to be required;
- discuss the kind of instrumentation considered necessary to achieve desired results;
- in the case of study of vegetation, recommend the selection of similar sites in the USSR and the US, which each side on its own territory will use for ground analysis and its own air and space surveys;
- in the case of study of the oceans, recommend specific international ocean areas of prime interest for the conduct of research;
- recommend the parameters to be measured and the kind of data to be gathered by both sides, as well as the formats and schedules which shall be used for data exchanges;
- recommend a mechanism for the exchange by each side with the other of the surface, air, and space data obtained by each for the agreed sea and its own land areas;
- recommend a procedure and schedule for the joint review and consideration of such data in symposia open to other countries;
- recommend mutually acceptable schedules for the coordinated programs.

## ATTACHMENT III

## EXPLORATION OF NEAR-EARTH SPACE, THE MOON AND THE PLANETS

## A. Agreements and Objectives

I. The Academy and NASA agree that their respective programs of scientific investigation of the Moon can be substantially enhanced by exchanges of samples and other scientific information obtained by each side from the Moon.

- II. The Academy and NASA agree to exchange small quantities of such materials to permit their comparative analysis in the laboratories of both countries, to make available to both sides materials from all sites visited on the Moon, and to facilitate analysis in unique or special facilities which may exist in either country. The Academy will provide NASA about 3 grams of regolith brought back by Luna-16 from different parts of the core. In return, NASA will provide the Academy about 3 grams from the regolith in the core sample of Apollo-12 and about 3 grams from Apollo-11 samples. The samples to be selected in each case will be agreed by appropriate NASA and Academy representatives.
- III. The Academy and NASA agree to support in their respective national programs the "International Magnetosphere Survey" being organized by the IUCSTP-COSPAR Special Study Group.
- IV. The Academy and NASA agree to use, along with the existing international scientific channels, such as COSPAR, direct channels for the exchange of scientific information in those cases where the existing channels are inadequate or too slow for the purpose, and where it is in the mutual interest to do so.

## B. Proposals for Further Discussion

The Academy and NASA agree that the following points should be discussed by the Working Group on the Exploration of Near-Earth Space, the Moon and the Planets:

- I. In the study of the magnetosphere:
  - define the problems of the magnetosphere which should be investigated in the next several years;
  - 2. determine the ground-based observations which each side could conduct during the period of a particular satellite investigation;
  - investigate the possibility of standardizing the presentation of data and methods of measurement in studies of the magnetosphere;
  - 4. examine the possibility of joint analysis of data from two or more simultaneously operating satellites;
  - 5. examine the feasibility of and steps required to jointly produce a "standard magneto-sphere" for periods of minimum solar activity:
  - 6. arrange for periodic and timely joint reviews of the status of knowledge of X-ray radiation from the Sun and the processes on the Sun associated with solar activity.

#### II. Exploration and use of the Moon:

- define the scientific problems of the Moon which should be investigated over the next several years;
- recommend procedures for the reciprocal exchange of future lunar samples and describe the documentation which will be required;
- recommend procedures for the reciprocal exchange of lunar photographs, from both orbital and landed spacecraft;
- 4. conduct a joint study of the methods of analyzing lunar photographs and preparing lunar maps, and prepare an agreement on a standard lunar coordinate system;
- recommend procedures for a reciprocal exchange of data on lunar dynamic processes under observation;
- discuss the possibility of a reciprocal exchange of information on the scientific problems under investigation in the Luna and Apollo programs, so that NASA and the Academy can take advantage of their knowledge of the other's scientific objectives as they plan their specific lunar flights;
- 7. initiate a joint discussion of the problems of the use of the Moon for astrophysical studies.

## III. Exploration of the planets:

- 1. discuss the principal scientific problems in the exploration of the solar system;
- arrange for periodic and rapid exchange of scientific information from planetary experiments, so that NASA and the Academy can take these results into account in the planning of their future experiments;
- 3. examine the possibilities of one side conducting experiments in its program, which may be proposed for that purpose by the other;
- examine the possibility of joint complementary activity by one side during the conduct
  of planetary investigations by the other; such as radio occultation experiments, monitoring
  of solar activity, simultaneous sounding rocket or balloon observations, or ground-based
  astronomical observations.

#### ATTACHMENT IV

#### SPACE BIOLOGY AND MEDICINE

## A. Agreement and Objectives

- 1. There are increased possibilities for the extension of the exchange of space biomedical data. This exchange should be expanded and made more regular in order to make maximum contributions to the safety and efficiency of manned space flight and to general medical knowledge which may be used for the benefit of all mankind.
- 2. The Academy and NASA agree that a Working Group shall develop recommendations and procedures to implement the expanded exchange of information. This Working Group will consider the arrangements for regular meetings of competent representatives for the detailed and timely exchange and evaluation of data associated with manned space flight. This exchange should include sufficiently detailed pre- and post-flight data, operational information, and other considerations necessary for full understanding of the results obtained.
  - 3. The exchange of scientific information of mutual interest shall include:
- a. biomedical data characterizing the adaptation of man to the conditions of space flight;
- b. the development of recommendations concerning the internal environment of manned spacecraft;
- c. radiation effects and considerations applicable to manned space flight;
- d. directions and methods of biological investigations.
- 4. The Academy and NASA further agreed that such meetings shall take place as data accumulate but should occur at least once per year in the major problem areas recommended by the Working Group. The first of such meetings should be held as soon as possible and should be dedicated to the examination of data and results obtained from the Soyuz and Apollo programs.
- 5. The Academy and NASA encourage the earliest possible completion of the joint publication, Foundations of Space Biology and Medicine.

#### B. Proposals for Further Discussion

As new knowledge is shared through this program, and new problems associated with manned space flight develop, the Working Group should expand the scope of its recommendations for further information exchange accordingly.

# COMPOSITION OF THE SOVIET DELEGATION

1.	M. V. Keldysh	President, Academy of Sciences of the USSR
2.	A. P. Vinogradov	Vice-President of the Academy of Sciences of the USSR
3.	B. N. Petrov	Chairman of the "Intercosmos" Council of the Academy of Sciences of the USSR
4.	G. I. Petrov	Director of the Institute for Space Research of the Academy of Sciences of the USSR
5.	I. P. Rumyantsev	Member of the "Intercosmos" Council of the Academy
6.	I. V. Meshcheryakov	Member of the "Intercosmos" Council of the Academy
7.	A. I. Tsarev	Member of the "Intercosmos" Council of the Academy
8.	M. Ya. Marov	Scientific Staff Member of the Institute of Applied Mathematics
9.	Ye. K. Fedorov	Chief of the Main Administration of the Hydrometeorological Service of the Council of Ministers of the USSR
10.	L. A. Aleksandrov	Deputy Chief of the Directorate of the Main Administration of the Hydrometeorological Service of the Council of Ministers of the USSR (for Technology)
11.	N. N. Gurovskiy	Chief of the Directorate of the Ministry of Health of the USSR
12.	O. G. Gazenko	Director of the Institute of Medical-Biological Problems of the Ministry of Health of the USSR
13.	Yu. A. Mozzhorin	Professor of the Moscow Physics-Technical Institute
14.	V. P. Minashin	Chief of the Main Administration of Space Communication of the Ministry of Communications of the USSR
15.	I. Ya. Petrov	Deputy Chief of the Main Administration for Space Communications of the Ministry of Communications of the USSR
16.	K. G. Fedoseyev	Deputy Chief of the USA Section of the Ministry of Foreign Affairs of the USSR

# COMPOSITION OF THE UNITED STATES DELEGATION

1.	George M. Low	Acting Administrator, National Aeronautics and Space Administration
2.	William Anders	Executive Secretary, National Aeronautics and Space Council
3.	John E. Naugle	Associate Administrator for Space Science and Applications, NASA
4.	Arnold W. Frutkin	Assistant Administrator for International Affairs, NASA
5.	Robert F. Packard	Director, Office of Space-Atmospheric and Marine Science Affairs, Department of State
6.	Arthur W. Johnson	Deputy Director, National Environmental Satellite Service, NOAA
7.	William Krimer	Interpreter, Department of State

## **EXCHANGE OF LETTERS**

I

# ACADEMY OF SCIENCES USSR LENINSKIY, MOSCOW

February 5, 1974

No. 10263/154

Dear Dr. Low:

I received your letter in which you positively evaluate the state of fulfillment of the Summary Document on the results of the discussion of questions of cooperation in the investigation of outer space between the Academy of Sciences USSR and the NASA, USA, dated January 21, 1971, and you propose a continuation of the period of its operation.

On our side, we share your evaluation of the state of fulfillment of this agreement between the Academy of Sciences USSR and NASA on cooperation in the study of space and we consider that, on the whole, it has been fulfilled satisfactorily. As far as your remarks on the activity of the working group for the study of the natural environment is concerned, I have asked Academician A. P. Vinogradov to send a special letter with an exposition of our proposals on this topic to the co-chairman of this working group, Dr. L. Jaffe.

We also consider it advisable to continue for three years the Summary Document dated January 21, 1971, operating within the framework of the Agreement between the USSR and the USA dated May 24, 1972, on cooperation in the study and use of outer space for peaceful purposes.

Thus we have in mind that the discussion of specific proposals on the development of cooperation, including the possible proposals on the conduct of experiments of one side on the spacecraft of the other side, could be conducted within the framework of the joint working groups on the directions of the cooperation established by us.

With consideration of the aforementioned, we propose to consider this letter as our official agreement to the continuation of the operation of the Summary Document dated January 21, 1971, for the next three years.

Sincerely,

[Signed]

Academician M. V. KELDYSH President, Academy of Sciences USSR

Deputy Administrator NASA Dr. G. M. Low

П

April 3, 1974

# Dear Academician Keldysh:

Thank you for your letter of February 5 affirming that the Soviet side agrees to a three-year extension of the NASA/Soviet Academy of Sciences agreement on cooperation in space science and applications which we signed in Moscow in January 1971.

We were particularly pleased to know that you share our view that the proposals for the conduct of experiments of one side on the spacecraft of the other side are now appropriate subjects to be considered by the joint working groups which have been established under our agreement. I am instructing the US Working Group Co-chairman to that effect.

Since the January 1971 agreement went into effect with your confirming letter of March 26, 1971, we understand that this three-year continuation will extend its force until March 26, 1977.

With best personal regards, Sincerely yours,

[Signed]

GEORGE M. Low Deputy Administrator

Academician M. V. Keldysh President Academy of Sciences of the USSR Moscow, USSR